

Substitute Form PTO-1449 (Revised)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-132002	Application No. 09/978,046
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.88(b))		Applicant Joseph G. Barrett et al.	
		Filing Date October 17, 2001	Group Art Unit 2152

## U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						

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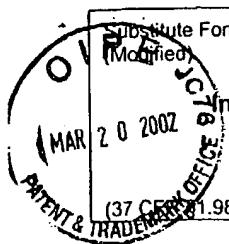
## Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
	AE						Yes	No
	AF							

## Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
BE	AG	Eric Allman, <i>SENDMAIL™ Installation and Operation Guide Version 8.310 for Sendmail Version 8.10</i> , Sendmail, Inc., (84 pages).
	AH	<i>Allowing controlled SMTP relaying in Sendmail 8.9</i> , (2 pages), <a href="http://web.archive.org/web/20000510213022/www.sendmail.org/tips/relaying.html">http://web.archive.org/web/20000510213022/www.sendmail.org/tips/relaying.html</a> .
	AI	<i>Anti-Spam Configuration Control</i> , (5 pages), <a href="http://web.archive.org/web/20000520064211/www.sendmail.org/m4/anti-spam.html">http://web.archive.org/web/20000520064211/www.sendmail.org/m4/anti-spam.html</a> .
	AJ	<i>Anti-Spam Provisions in Sendmail 8.8</i> , (4 pages), <a href="http://web.archive.org/web/20000510040556/www.sendmail.org/antispam.html">http://web.archive.org/web/20000510040556/www.sendmail.org/antispam.html</a> .
	AK	Mark Durham, <i>Spam Control in 8.10</i> , (3 pages), <a href="http://web.archive.org/web/20000618042433/sendmail.net/?feed=interview810-5">http://web.archive.org/web/20000618042433/sendmail.net/?feed=interview810-5</a> .
	AL	Paul Boutin, <i>RFC 2476: Message Submission Agent</i> , (3 pages), <a href="http://web.archive.org/web/200010270602105/sendmail.net/?feed=rfc2476">http://web.archive.org/web/200010270602105/sendmail.net/?feed=rfc2476</a> .
	AM	Paul Boutin, <i>RFC 2554: SMTP Authentication</i> , (4 pages), <a href="http://web.archive.org/web/20001016141928/sendmail.net/?feed=rfc2554">http://web.archive.org/web/20001016141928/sendmail.net/?feed=rfc2554</a> .
	AN	<i>Relaying Denied/Allowed (in sendmail 8.8/8.9)</i> , Last Update 2/11/2000, (2 pages), <a href="http://web.archive.org/web/20000418000700/www.sendmail.org/~ca/email/relayingdenied...">http://web.archive.org/web/20000418000700/www.sendmail.org/~ca/email/relayingdenied...</a> .
	AO	rfc2222, J. Myers, <i>Simple Authentication and Security Layer (SASL)</i> , (16 pages), October 1997, The Internet Society (1997), <a href="http://web.archive.org/web/20001027153713/www.cis.ohio-state.edu/htbin/rfc/rfc2222.ht...">http://web.archive.org/web/20001027153713/www.cis.ohio-state.edu/htbin/rfc/rfc2222.ht...</a> .
	AP	rfc2554, J. Myers, <i>SMTP Service Extension for Authentication</i> , (11 pages), March 1999, The Internet Society (1999), <a href="http://web.archive.org/web/20001018181229/www.cis.ohio-state.edu/htbin/rfc/rfc2554.ht...">http://web.archive.org/web/20001018181229/www.cis.ohio-state.edu/htbin/rfc/rfc2554.ht...</a> .
	AQ	<i>Using SMTP AUTH in Sendmail 8.10</i> , (2 pages), March 27, 2000, <a href="http://web.archive.org/web/20001016174022/sendmail.net/?feed=usingsmthpauth">http://web.archive.org/web/20001016174022/sendmail.net/?feed=usingsmthpauth</a> .

Examiner Signature <i>Bradley Coleman</i>	Date Considered 7/22/04
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(37 CFR 1.98(b))

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
BF	AA	5,999,932	12/7/99	Paul			
BF	AB	6,052,709	4/18/00	Paul			
	AC						
	AD						
	AE						
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	AH						
	AI						
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							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

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Examiner Signature

*Bradley Goldstein*

Date Considered

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